Responses Overview   *Closed*

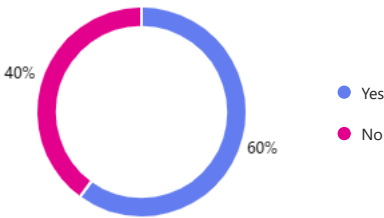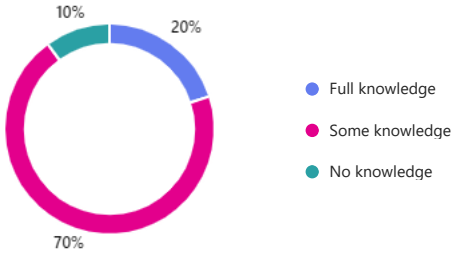| Responses	Average Time	Duration
10	**06:11**	**17** Days

1. I attended the Audit Committee Effectiveness training in July 2023.

40%
60%

- Yes
- No

2. I am aware of the CIPFA Publication - Audit Committees: Practical Guidance for Local Authorities.

10%
20%
70%

- Full knowledge
- Some knowledge
- No knowledge

3. I have an overview of the governance structures of the authority and the decisions-making process.

40%
60%

- Full knowledge
- Some knowledge
- No knowledge
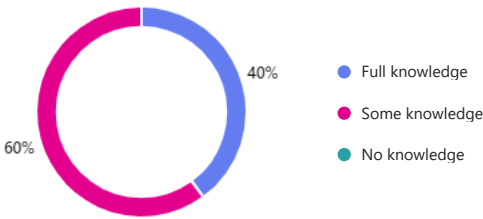
4. I have knowledge of the organisational objectives and major functions of the authority.

50%
50%

- Full knowledge
- Some knowledge
- No knowledge
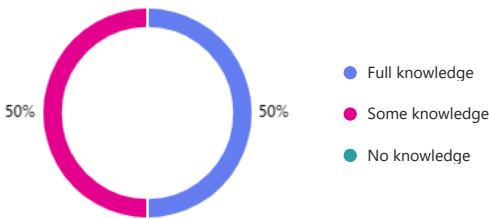
5. I have an understanding of the Audit Committee's role and place within the governance structures.

40%   60%

- Full knowledge
- Some knowledge
- No knowledge
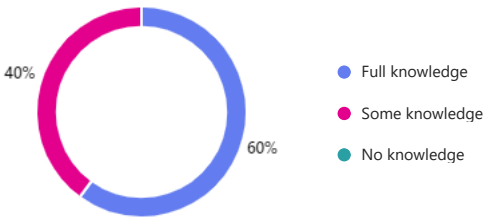
6. I have a familiarity with the Audit Committee's Terms of Reference and accountability arrangements.

20%   50%   30%

- Full knowledge
- Some knowledge
- No knowledge
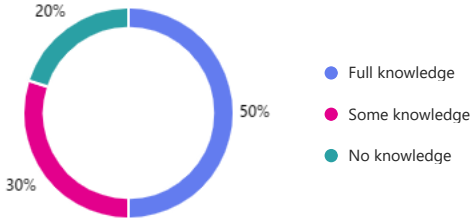
7. I have knowledge of the purpose and role of the Audit Committee.

40%   60%

- Full knowledge
- Some knowledge
- No knowledge
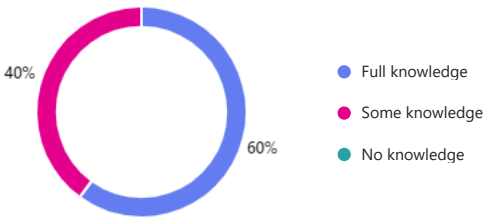
8. I have knowledge of the seven principles of the CIPFA/Solace Good Governance Framework and the requirements of the Annual Governance Statement (AGS).

20%   20%   60%

- Full knowledge
- Some knowledge
- No knowledge
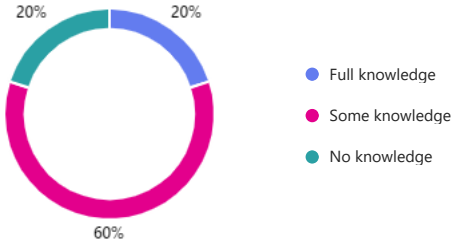
9. I have knowledge of the Council's Code of Corporate Governance.

20%   30%   50%

- Full knowledge
- Some knowledge
- No knowledge
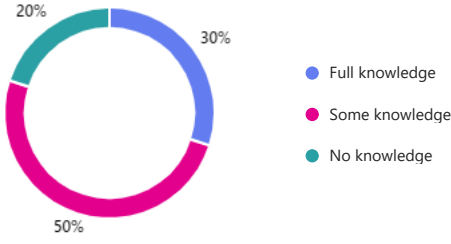
10. I have an awareness of the key principles of the Public Sector Internal Audit Standards.

- Full knowledge
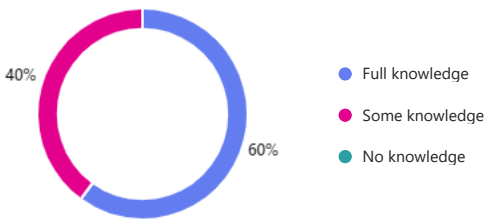- Some knowledge
- No knowledge
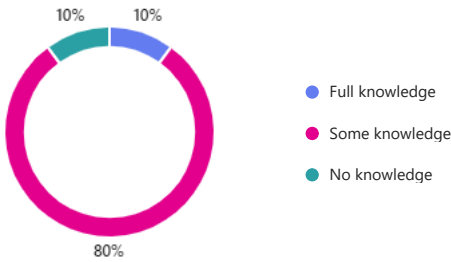
11. I have knowledge of the arrangements for the delivery of Internal Audit Services at the Council and how the Head of Internal Audit role is fulfilled.
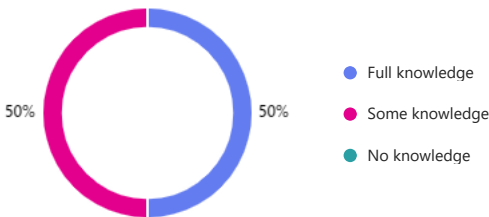

- Full knowledge
- Some knowledge
- No knowledge
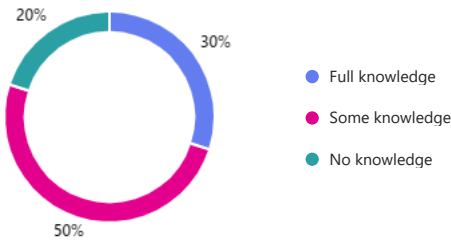
12. I have awareness of the financial statements that a Local Authority must produce and the principles it must follow to produce them.

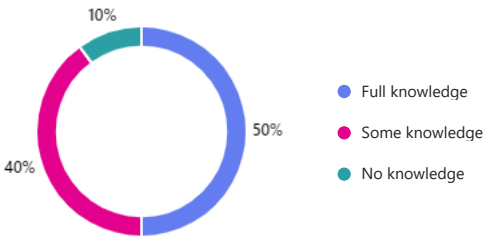- Full knowledge
- Some knowledge
- No knowledge
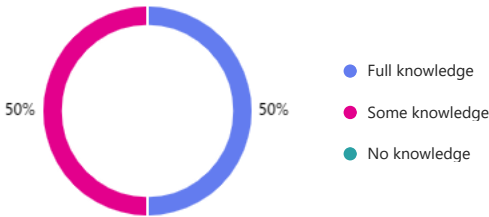
13. I have an understanding of the financial risks the Council currently faces.

- Full knowledge
- Some knowledge
- No knowledge

14. I have an understanding of good financial management principles.

- Full knowledge
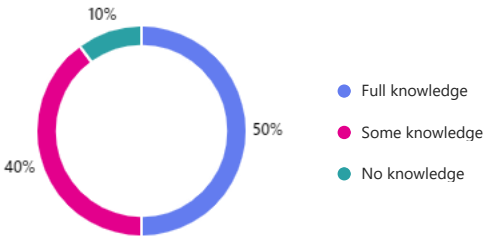- Some knowledge
- No knowledge

15. I have knowledge of how the organisation meets the requirem
ents of the role of the Chief Financial Officer, as required by th
e CIPFA Statement on the role of the Chief Financial Officer in L
ocal Government.

- Full knowledge
- Some knowledge
- No knowledge

16. I have knowledge of the roles and functions of the external au
ditor and who currently undertakes this role.

- Full knowledge
- Some knowledge
- No knowledge
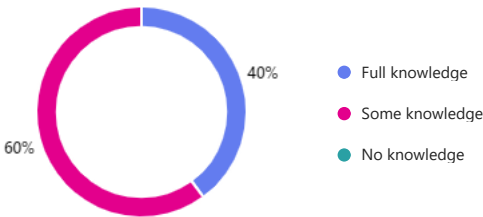
17. I have knowledge of the key reports and assurances that extern
al audit will provide.

- Full knowledge
- Some knowledge
- No knowledge

18. I have knowledge about the arrangements for the appointmen
t of auditors and quality monitoring undertaken.
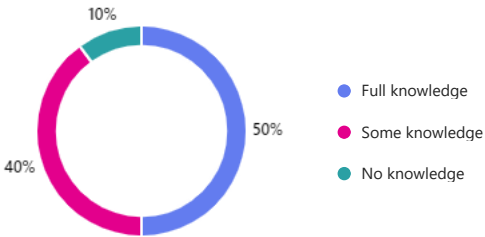

- Full knowledge
- Some knowledge
- No knowledge
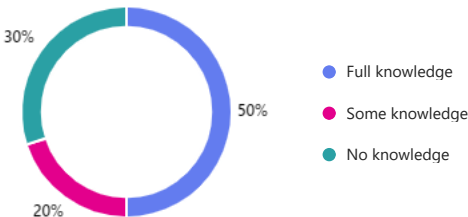
19. I have an understanding of the principles of risk management, i
ncluding linkage to good governance and decision making.

- Full knowledge
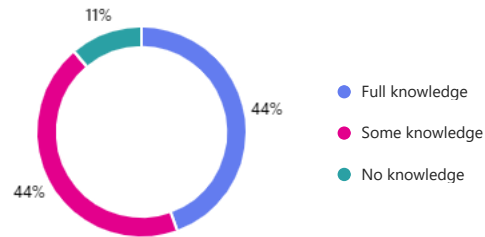- Some knowledge
- No knowledge
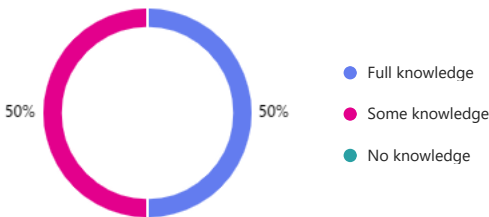
20. I have knowledge of the risk management policy and strategy of the Council.

- Full knowledge — 50%
- Some knowledge — 30%
- No knowledge — 20%
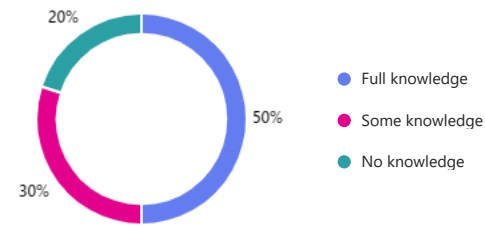
21. I have an understanding of risk governance arrangements, including the role of members and of the Audit Committee.

- Full knowledge — 50%
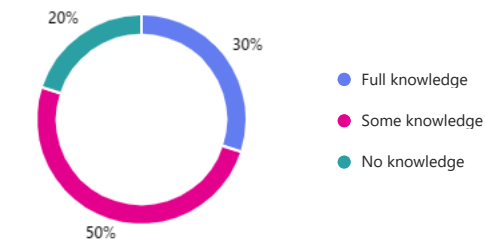- Some knowledge — 40%
- No knowledge — 10%

22. I have an understanding of the main areas of fraud and corruption risk the Council is exposed too.

- Full knowledge — 44%
- Some knowledge — 44%
- No knowledge — 11%

23. I have knowledge of the principles of good fraud risk management practice.

- Full knowledge — 40%
- Some knowledge — 60%
- No knowledge
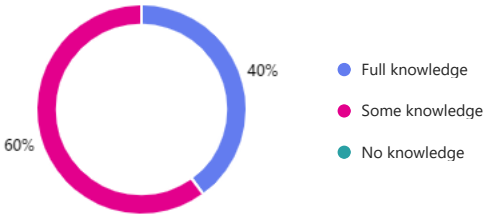
24. I have knowledge of the Council's arrangements for tackling fraud.

- Full knowledge — 30%
- Some knowledge — 50%
- No knowledge — 20%

25. I have knowledge of the Seven Principles of Public Life (also kn own as the Nolan Principles).

40%

60%

- Full knowledge
- Some knowledge
- No knowledge
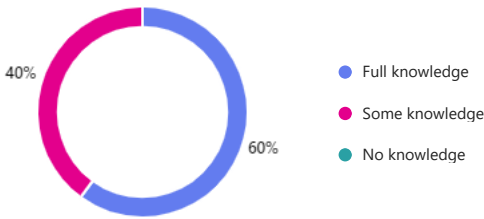
26. I have knowledge of the Council's key arrangements to uphold ethical standards for both members and staff (i.e. Codes of Con duct).

50%

50%

- Full knowledge
- Some knowledge
- No knowledge
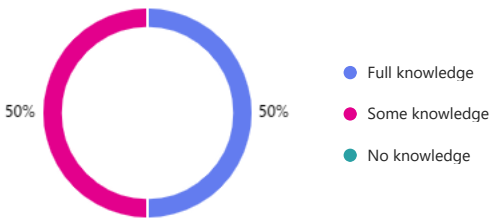
27. I have knowledge of the whistleblowing arrangements in the C ouncil.

40%

60%

- Full knowledge
- Some knowledge
- No knowledge
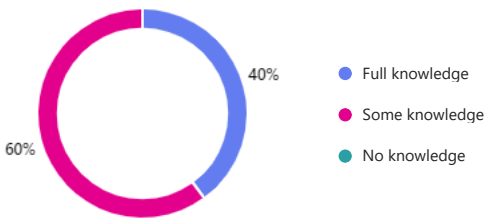
28. I understand that effective scrutiny of Treasury Management is important, and I am familiar with the key knowledge areas whic h are:

- Regulatory requirements
- Treasury risks
- The Council's Treasury Management Strategy
- The Council's Policies and Procedures in relation to Treasury Management

20%

50%

30%

- Full knowledge
- Some knowledge
- No knowledge
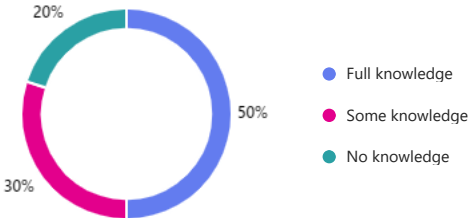
29. Is there any additional training that would be beneficial for you to fulfil your role on the Audit Committee?

5 responses submitted

30. Do you have any further comments in relation to skills, knowledge and training requirements of the Audit Committee?

4 responses submitted

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